

# Manage Risk with Insight

Learn about Daylight's Transaction  
Monitoring Teaming Services.

DAYLIGHT  
FORENSIC & ADVISORY

MANAGE WITH INSIGHT.®



Anti-money laundering (AML) transaction monitoring can be especially challenging because it requires efficient monitoring systems and personnel experienced in analyzing alert data. Deficiencies in transaction monitoring and follow-up analyses can lead to an incomplete or incorrect Suspicious Activity Report (SAR) or the failure to file a SAR. The regulatory consequences of deficient reporting can include heavy fines and the need to institute transaction monitoring “lookbacks.” Lookbacks are time-consuming and costly and can strain compliance resources, particularly at financial institutions whose compliance and operational resources may already be stretched in supporting their core businesses.

## Transaction Monitoring Teaming Services (TMTS™)

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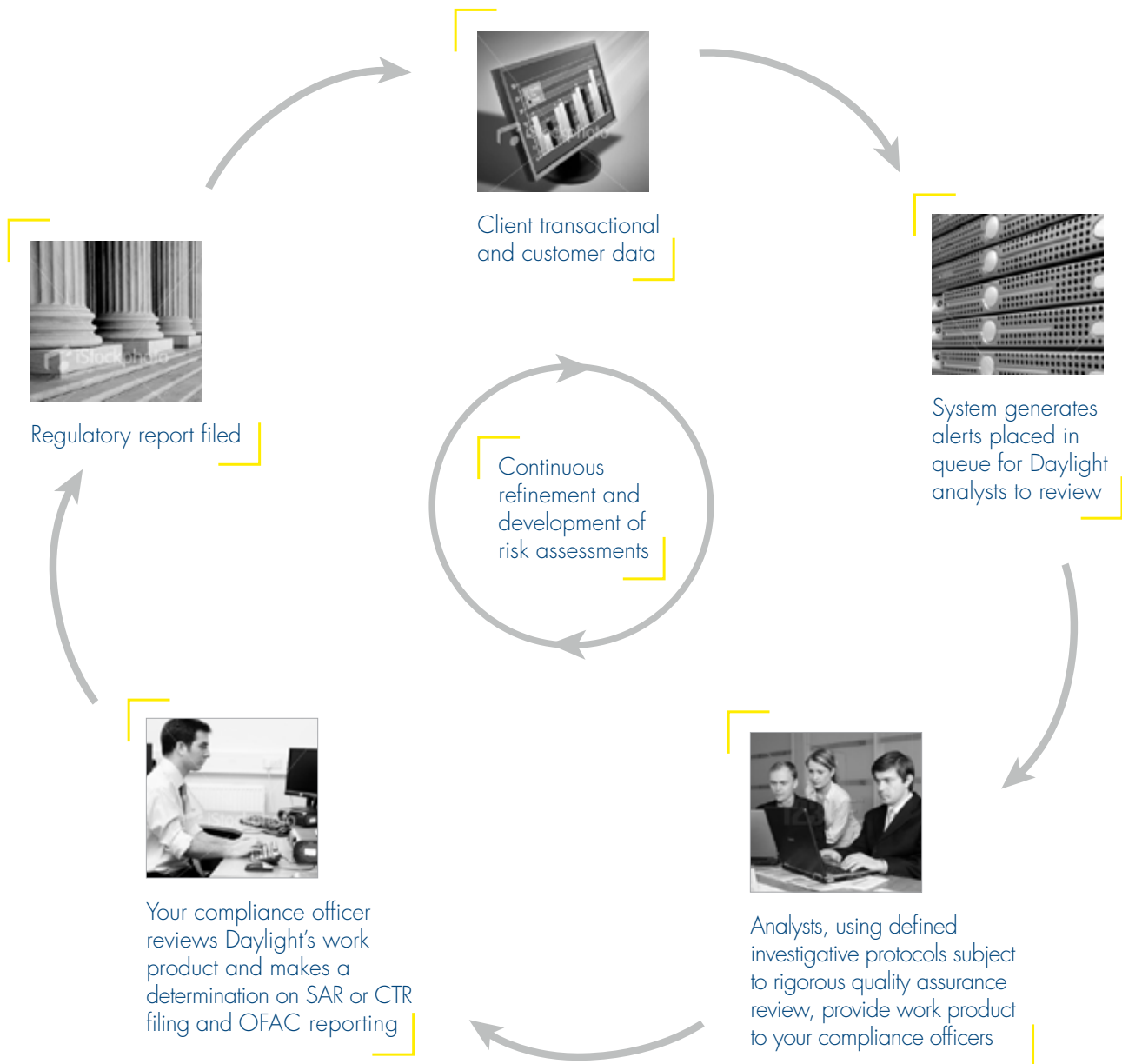
Daylight created its Transaction Monitoring Teaming Services expressly to help financial services institutions comply with AML investigations and SAR filing requirements. Daylight’s experienced team will analyze your transactions and work with your compliance team to develop investigative protocols to help you determine whether a SAR should be filed. By leveraging our expertise, proprietary anti-money laundering case management system and proven monitoring technology, Daylight created an efficient, cost-effective compliance solution that you can deploy quickly without additional costs to your infrastructure. The technology allows us to review millions of transactions and flag those that might be considered suspicious, based on the specific risks of your business.

TMTS is an integrated offering that includes the following components:

1. Technology: Provides hosted technology or fine-tuning your existing system
2. Risk Management: Provides preliminary and ongoing risk assessments
3. Process: Documents and/or develops formalized investigative protocols
4. Quality Assurance: Formalizes protocols and reporting
5. Talent: Provides AML analysts to process alerts and cases

# The Daylight Approach

Daylight can host the service and the technology or work with your existing systems. Daylight's analysts can work on-site at your location or at Daylight's secure facility. Whichever configuration fits your needs best, our analysts will work collaboratively with your compliance team, so you stay in control.



In addition to TMTS™ our full roster of Transaction Monitoring Optimization Services (TMOS™) also includes:

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- AML Technology Data Reconciliation
- Risk Assessment Reviews
- Investigative Process Reviews
- Quality Assurance Reviews
- Clearing Back-Logs & Providing Ongoing Investigative Support

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Daylight Forensic & Advisory LLC

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